

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hartwig LANGE, et al.

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: STABLE AND HIGH SOLIDS AQUEOUS DISPERSIONS OF BLOCKED POLYISOCYANATES



INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

*EX. 9.*  
*12/14/01*  
*H3*

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicants wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, and were cited and made of record in the parent application, U.S. Serial No. 09/567,979, filed May 10, 2000, which is a continuation of U.S. Serial No. 08/958,398, filed October 29, 1997 (now U.S. Patent No. 6,096,805, issued August 1, 2000).
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*F.D. Vastine*

Norman F. Oblon

Registration No. 24,618

Frederick D. Vastine, Ph.D.

Registration No. 27,013



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

I:\atty\FDV\214799.idscvr.wpd